



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/551,445 Confirmation No. : 3020
First Named Inventor : Roland H.C.EBERL
Filed : December 4, 2006
TC/A.U. : ~~2877~~ 2873
Examiner : ~~Not yet assigned~~ PINKNEY
Docket No. : 101795.56307US
Customer No. : 23911
Title : Information System and Method for Porviding Information
Using a Holographic Element

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 AND 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

This Information Disclosure Statement is submitted:

☒ 1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later) or 3) before a first Office Action after the filing of a Request for Continued Examination, and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

☐ 2) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (*Ex parte Quayle*), (whichever is earlier), and therefore Applicant is filing concurrently herewith:

☐ a Statement under 37 C.F.R. § 1.97(e); or

☐ a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

☐ 3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:

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a Statement under 37 C.F.R. § 1.97(e); and

a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

II. Statement Under 37 C.F.R. § 1.97(e)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or

III. Statement under 37 C.F.R. § 1.704(d)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

IV. Submission of Non-English Language Documents

☒ The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449:

☒ The relevance of document(s) AH, AJ-AL & AN-AS to the subject matter of the present invention is/are provided in the specification of the above-identified application.

☒ Corresponding foreign or international report(s) citing document(s) AG, together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.

☒ English language family member publication(s) of document(s) AJ & AN is/are noted on Form PTO-1449.

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☒ English language abstract(s) is/are submitted for document(s)
AH-AS

☐ English translation(s) of the foreign language document(s)
_____ is/are submitted herewith.

☐ Applicant submits the following explanations:

V. Continuations/Divisionals

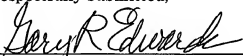
☐ Documents _____ were of record in parent application Serial No. _____, filed _____, from which this application claims benefit. As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously submitted to or cited by the United States Patent and Trademark Office in the afore-mentioned parent application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 101795.56307US, for the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

June 20, 2007



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PTO/SB/08e (09-06)

Approved for use through 03/31/2007. OMB 0651-0031
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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/551,445
		Filing Date	December 4, 2006
		First Named Inventor	Roland H.C. EBERL
		Art Unit	2873 2873
		Examiner Name	Not yet assigned PINKNEY
Sheet 1 of 2	Attorney Docket Number	101795.56307US	

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/DP/	AA	US- 5,008,781 A	12/28/1999	Furness, III et al.	
	AB	US- 5,973,781 A	10/26/1999	Moeller et al.	
	AC	US- 5,027,216 A	02/22/2000	Guyton et al.	
	AD	US- 5,262,555 B1	06/25/2001	Hall	
	AE	US- 6,313,931 B1	11/06/2001	Gnesdig et al.	
	AF	US- 5,982,817 B1	01/03/2006	Halldorsson	

FOREIGN PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/DP/	AG	WO 98/13720 A1	04/02/1998	MEDCAM, INC.	
	AH	DE 197 28 890 A1	02/04/1999	Daimler-Benz Aktiengesellschaft	
	AI	WO 99/03013 A1	01/21/1999	Daimler-Benz Aktiengesellschaft	
	AJ	DE 197 03 592 A1	08/06/1998	Daimler-Benz Aktiengesellschaft	
	AK	DE 101 27 826 A1	12/19/2002	PHYSOPTICS Opto-Electronic GmbH	
	AL	DE 196 31 414 A1	02/19/1998	Daimler-Benz Aktiengesellschaft	
	AM	WO 98/06992 A2	02/12/1998	Daimler-Benz AG	
	AN	DE 199 34 162 A1	02/08/2001	DaimlerChrysler AG	
	AO	WO 02/31679 A1	04/18/2002	PHYSOPTICS Opto-Electronic GmbH	
	AP	WO 02/31680 A1	04/18/2002	PHYSOPTICS Opto-Electronic GmbH	
	AQ	WO 02/31677 A1	04/18/2002	PHYSOPTICS Opto-Electronic GmbH	
	AR	WO 02/087611 A1	12/06/2002	PHYSOPTICS Opto-Electronic GmbH	
	AS	WO 02/31678 A1	04/18/2002	Physoptics Opto-Electronic GmbH	

/Dawayne Pinkney/

08/23/2008

PTO/SB/08a (09-06)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/551,445
Sheet 2 of 2		Filing Date	December 4, 2006
		First Named Inventor	Roland H.C. EBERL
		Art Unit	2873 2873
		Examiner Name	Not yet assigned PINKNEY
		Attorney Docket Number	101795.56307US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/DP/	AT	Form PCT/PEA/409 w/English translation (Ten (10) pages).	
/DP/	AU	International Search Report dated March 13, 2002 w/English translation (Six (6) pages).	

Examiner Signature	/Dawayne Pinkney/	Date Considered	08/23/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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